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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Pienkos, John T.
Serial No.: 09/724,744
Filed: November 28, 2000
For: METHOD AND SYSTEM FOR FACILITATING THE TRANSFER OF INTELLECTUAL PROPERTY
Grp. Art Unit: 3625

**SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The Applicant recently received the enclosed documents and, although the Applicant suspects that the information in the documents are substantially duplicative of information previously submitted to the Patent Office in connection with the present Application, the Applicant in the interests of meeting the duty of disclosure nevertheless is submitting the documents herewith.

In particular, the enclosed documents are being submitted in the above-identified Application in compliance with 37 CFR 1.97 & 1.98:

- ☐ (1) Pursuant to 37 CFR 1.97(b)
(within 3 months of filing or prior to mailing of 1st Office Action)
- ☒ (2) Certification Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- ☐ (3) Fee Payment Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- ☐ (4) Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) (before issue fee payment)
- ☒ (5) A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☐ (6) 37 CFR 1.97(b) (within 3 months of filing or prior to 1st Office Action)

- ☒ (7) 37 CFR 1.97(c) (before Final Office Action or Allowance, whichever is earlier); and
- ☒ (8) The required certification made in item 11 below; or
- ☐ (9) The \$240.00 fee specified in 36 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ (10) 37 CFR 1.97(d) (before issue fee payment); and
- [a] (This is a petition for consideration of the subject Information Disclosure Statement. The petition fee \$180.00 required by 37 CFR 1.17(p) is authorized in item 14 below.
- [b] The required Certification is stated in item 11 below.
- ☒ (11) Certification
- ☐ (12) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☒ (13) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ (14) No fees are believed to be due with respect to the submission of this Supplemental Information Disclosure Statement.

Respectfully submitted,

By: _____



John T. Pienkos
(414) 228-6881

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PTO/SB/088 (09-06)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

Application Number

09/724,744

Filing Date

11/28/00

First Named Inventor

JOHN T. PIENKOS

Art Unit

3625

Examiner Name

JAMES ZURITA

Attorney Docket Number

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	—	Letter From Joseph Lee Re Patent Services, Acacia Technologies Group, November 17, 2006, 2 pages.	
	—	web pages of Inflexion Point Strategy, obtained at www.ip-strategy.com/services.html , printed on 12/15/2006, 4 pages.	
	—	web pages of Altitude Capital Partners, obtained at www.altitudecp.com/value.html , printed on 12/15/2006, 5 pages.	
	—	"6E Real Estate Creates Strategic Capital Group", December 17, 2003, concerning 6E Strategic Capital Group, 4 pages.	
	—	"Brief of Altitude Capital Partners, Expense Networks, Inc., Inflexion Point Strategy, LLC, Interdigital Communications Corp., IPotential, LLC, Ocean Tomo, LLC and OnSpec Electronic Inc. as Amici Curiae in support of Respondents"	

Examiner
Signature

Date

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	09/724,744
Filing Date	11/28/00
First Named Inventor	JOHN T. PIENKOS
Art Unit	3625
Examiner Name	JAMES ZURITA
Attorney Docket Number	

Sheet	1	of	1
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U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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1/22/01

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U. S. DEPARTMENT OF COMMERCE
U. S. DEPARTMENT OF COMMERCE

Question No. <u>1418770</u> INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete If Known Application Number <u>09/729744</u> Filing Date <u>11/28/2000</u> First Named Inventor <u>PIENKOS</u> Group As Unit _____ Inventor Name _____ Attorney General Number _____	
Sheet	<u>1</u>	of	<u>1</u>		

OTHER PROPERTY - NOW PART OF LITIGATION DOCUMENTS		
Exhibit Index	Ex No.	Indicate name of the author for PATENT LITIGATION, date of the article, author, organization, title of the film, book, magazine, journal, comic, computer, etc., date, period, volume, issue number, etc., any other entity that produced
	1	Copy of home page of The Patent & License Exchange, Inc., found at www.pl-x.com , dated 12/26/2000
	2	Copy of home page of the Patent Cafe, found at www.patentcafe.com , dated 12/26/2000
	3	Copy of home page of yet2.com, found at www.yet2.com , dated 12/26/2000
	4	Copy of home page of DaVinci - Online, found at www.davinci-online.com , dated 12/26/2000
	5	Copy of home page of the Hook Appropriate Technology, found at www.thehooktek.com , dated 12/26/2000
	6	Copy of home page of TTE/Newfrontiers, found at www.newfrontiers.com , dated 12/26/2000
	7	Copy of home page of the Delphion Intellectual Property Network (formerly the IBM Intellectual Property Network), found at www.delphion.com , dated 12/26/2000
	8	Copy of home page of the U.S. Patent and Trademark Office, found at www.uspto.gov , dated 12/26/2000
	9	Copy of home page of TechExchange ^{Online} found at www.teonline.com , dated 1/12/2001
	10	Copy of home page and additional web pages of IPNetwork.com, found at www.ipnetworks.com , dated 1/19/2001
	11	"Using the Internet For Technology Transfer and Licensing," conference sponsored by Licensing Executives Society, Crystal City, VA, April 15-16, 1996

1. <u>Project Name</u> 2. <u>Project Number</u> 3. <u>Project Manager</u> 4. <u>Project Sponsor</u> 5. <u>Project Start Date</u> 6. <u>Project End Date</u> 7. <u>Project Budget</u> 8. <u>Project Status</u> 9. <u>Project Location</u> 10. <u>Project Description</u> 11. <u>Project Objectives</u> 12. <u>Project Deliverables</u> 13. <u>Project Risks</u> 14. <u>Project Issues</u> 15. <u>Project Change Log</u> 16. <u>Project Communication Plan</u> 17. <u>Project Stakeholder Register</u> 18. <u>Project Charter</u> 19. <u>Project Management Plan</u> 20. <u>Project Schedule</u> 21. <u>Project Budget</u> 22. <u>Project Risk Register</u> 23. <u>Project Issue Register</u> 24. <u>Project Change Log</u> 25. <u>Project Communication Plan</u> 26. <u>Project Stakeholder Register</u> 27. <u>Project Charter</u> 28. <u>Project Management Plan</u> 29. <u>Project Schedule</u> 30. <u>Project Budget</u> 31. <u>Project Risk Register</u> 32. <u>Project Issue Register</u> 33. <u>Project Change Log</u> 34. 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1. The first of these is the fact that the Commission has not yet received any information from the Government of the United Kingdom regarding the proposed changes to the law of the United Kingdom regarding the treatment of the British Commonwealth countries.

1. The first step is to identify the problem or question that needs to be answered. This involves understanding the context and the specific requirements of the task.

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2/20/08

A sequence of seven small diagrams illustrating the construction of a 2x2 grid. The first diagram shows a single block. The second shows two blocks side-by-side. The third shows two blocks stacked vertically. The fourth shows two blocks side-by-side with a third block below them. The fifth shows two blocks side-by-side with a third block below them and a fourth block to the right of the third. The sixth shows two blocks side-by-side with a third block below them and a fourth block to the right of the third, and a fifth block to the right of the fourth. The seventh diagram shows the completed 2x2 grid.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number: <u>09-753-555</u> Date: <u>10/10/09</u> District: <u>PLM/KR</u> Group Affiliates: Reporting Name: Agency District Number:	
Show: <u>1</u> of <u>1</u>			

[illegible]

Examiner Signature		Date Completed	
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Substitute for form 1449B/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/224,744
		Filing Date	11/28/2000
		First named inventor	TENKOS
		Group Art Unit	
		Examiner Name	
Sheet 1 of 1	Attorney Docket Number		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published.	T2
	1	Copies of web pages of LPS Group, found at www.informationholdings.com/lps/, dated 7/25/01	
	2	Copies of web pages of Information Holdings Inc., found at www.corporateintelligence.com, dated 7/25/01	
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	5	Copy of web pages of Patent Bank, found at www.patentbank.co.kr, dated 7/25/01	
	6	Harvard Business School Case Draft N9-600-150, "The Patent & License Exchange: Enabling a Global IP Marketplace", dated July 1, 2000	
	7	"From Labs to Riches", Peter J. Cronk, The Legal Intelligencer, March 24, 1997	

Examiner Signature	Date Considered
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† Unique citation designation number. ‡ Applicant is to place a check mark here if English language Translation is attached.

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9/3/01 (1 of 2)

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Submission for form 14423-PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete If Known Application Number <u>02/224,744</u> Filing Date <u>11/28/00</u> First Named Inventor <u>PIENKOS</u> Group Art Unit Examiner Name Attorney Doctort Number	
Sheet	<u>1</u>	of	<u>2</u>

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Doc No.	Include name of the author (in CAPITAL LETTERS), ID of the article (when appropriate), ID of the book (book, magazine, journal, card, symposium, etc.), etc., page(s), volume(s) number(s), publisher, city and/or country where published.	TI
	1	Brunsvold, Brian et al., Drafting Patent License Agreements, 4th ed. (1998), exemplary sections including Table of Contents (pp. v - xi), Chapters 1-3 (pp. 1 - 40), and Chapter 10 (pp. 91-114)	
	2	Copies of web pages of Ernst & Young, found at <u>www.ey.com</u> , dated 9/12/01	
	3	Copies of web pages of Inta Corp, Inc., found at <u>www.intacorp.com</u> , dated 9/12/01	
	4	"Getting More Revenue for Your Clients From Their Intellectual Property", presentation by Ted Klumb, Alliance Technology Service, Inc., 1998-99, including article "One Man Band", The Business Journal, 5/19/98	
	5	"Companies Cash in on 'Discarded' Patents", Technology Business, November/December 1997 (author Nancy Ernst Rober), reprinted by Alliance Technology Service, Inc.	
	6	"U.S. Companies Ignore More Than \$115 Billion in Technology Assets, Survey Finds", press release by BTG, June 22, 1998, reprinted by Alliance Technology Service, Inc.	
	7	"Japan Wants to 'Wake Up' Unused Patents", found at <u>www.wired.com</u> , dated 9/13/01 (author Gene Koprowski, article date 3/12/97)	

Examiner Signature	Date Considered
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REMARKS: Initial if substance considered, whether or not addition to be made. If not, then the substance is not considered. Initials of this form with next communication to applicant.

* Unless other designation number. * Applicant to be plus a check mark here if English language Translation is attached.

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9/13/01 (282)

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PTO/SB/053 (09-00)

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Substitute for form 1442/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/724,744
		Filing Date	11/22/00
		First Named Inventor	PIENAS
		Group Art Unit	
		Examiner Name	
Sheet 2 of 2	Attorney Docket Number		

Examiner Initials*	Doc No.	OTHER PRIOR ART - RUN PATENT LITERATURE DOCUMENTS <small>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, patent, symposium, etc.), date, page(s), volume(s) number(s), publisher, city and/or country where published.</small>	7
	8	Additional copies of web pages of LPS Group, found at www.informationholding.com , dated 9/13/01, "Market Trends"	
	9	Copies of web pages of mycounsel.com , found at www.mycounsel.com , dated 9/13/01, "Requirements and Considerations when Licensing Your Patent"	
	10	Copies of web pages of Woodbridge & Associates, P.C., found at www.njplaw.com , dated 9/13/01, "Basic Terms and Conditions of a Strong Patent License"	
	11	Additional copies of web pages of Patent Cafe Magazine / Patent Cafe, found at www.cafazine.com , dated 9/13/01, "How to Determine Royalty Rates"	
	12	Copies of web pages of FindLaw, found at technet.biz , findlaw.com , dated 9/13/01, Patent License Agreement (Exemplary)	
	13	Copies of web pages of www.lawsmart.com , dated 9/13/01, "Exclusive Patent License Agreement"	

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete If Known	
				Application Number	09/724,744
				Filing Date	11/28/00
				First Named Inventor	PIENKOS
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	
Sheet	1	of	1		

[illegible]

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/724,744
		Filing Date	11/28/2000
		First Named Inventor	PIENKOS
		Group Art Unit	
		Examiner Name	
Sheet		of	
		Attorney Docket Number	

Examiner Initials*	Cite No. ¹	OTHER RELEVANT NON-PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	7 ²
	1	Copy of article "Mine Games" by Eric. W. Pfeiffer, dated 6/24/02, obtained at www.forbes.com /asap, printed 6/24/02, 3 pages	
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	5	"Patent Fight Pending," originally published by SF Weekly on Dec. 6, 2000, by Bernice Young, obtained at www.sfweekly.com, printed on 6/27/02, 1 pages	
	6	"Intel Hit With \$833 Million Patent Lawsuit," by Alexander Wolfe, EE Times, obtained at www.itnews.com.au, printed 6/29/02, 3 pages	
	7	"Would You Buy a Patent License From This Man?" by Ian Mount, obtained at www.business2.com, printed 6/29/02, 4 pages	
Examiner Signature	Date Considered		

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DEC 19 2006 6/28/03

PTO/BB/08b(05-03)

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete If Known Application Number 09/724,744 Filing Date 11/28/00 First Named Inventor JOHN T. PIENKOS Art Unit 2100 Examiner Name — Attorney Docket Number —	
Sheet	1	of	1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ³
		-pages from website of Miramonte Technologies, obtained at www.miramonte-technologies.com , printed on 11/16/02, 6 pages.	
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		-pages from website of Fairfield Resources International, Inc., obtained at www.frlicense.com , printed on 5/6/03, 13 pages.	
		-pages from website of 2XFR Intellectual Property Licensing and Tech-XFR Marketplace, obtained at www.2xfr.com , printed on 5/29/03, 25 pages.	

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 1.4. Applicant's unique citation designation number (optional). 2. Applicant is to place a check mark here if English language Translation is attached.
 1.1.1. collection of information is required by 37 CFR 1.98. This information is required to obtain or retain a benefit by the public which is to file (and by the USPTO process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including writing, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the part of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND PAPER OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

1

Attorney Docket Number

Complete If Known

Application Number

09/724,744

Filing Date

11/28/00

First Named Inventor

PIENKOS

Art Unit

3625

Examiner Name

NGUYEN, CUONG H.

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		Printout of web pages of website of maxiam LLC, obtained at www.maxiam.com, printed on 9/30/03, 15 pages.	
		Letter/Opinion of Department of Justice Antitrust Division concerning MPEG LA, Joel I. Klein, Acting Assistant Attorney General, dated June 26, 1997, 15 page printout obtained at www.usdoj.gov	

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PTO/SB/088 (08-03)

Approved for use through 07/31/2005. OMB 0681-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Information Disclosure Statement by Applicant (Use as many sheets as necessary)		Complete if Known Application Number 09/724,744 Filing Date November 28, 2000 First Named Inventor Pienkos, John T. Art Unit 3625 Examiner Name Nguyen, C. Attorney Serial Number —	
Sheet	1	of	1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
		"PLX Announces Plans to Revolutionize \$3.5 Billion Patent Industry Through Global, Internet-Based Patent Auction Market," press release dated January 22, 1999, obtained at < http://www.eurekaent.org/pub-releases/1999-01/F1-PAPT-220199a.ppt >, printed on 3/6/04, 2 pages.	

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1. Applicant's unique citation designation number (optional). 2. Applicant is to place a check mark here if English language Translation is attached.

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PTO/83/82b (02-03)

Approved for use through 03/30/2003. OCS 0281-0091
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use in every column in parentheses)		Application Number 09/724,794	
		Filing Date 11/28/00	
		First Named Inventor PIENKOS, JOHN T.	
		Art Unit 3625	
		Examiner Name NGUYEN, CYNTHIA H.	
		Attorney/Agent Number —	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	CNO No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	P#
	1	Documentation of Patent & License Exchange, 1999, 31 pages, including "The Patent & License Exchange FAQ Sheet", "PL-X.COM SUBSCRIBER QUALIFICATIONS", "PL-X.COM SUBSCRIBER FEES AND SELLER'S COMMISSIONS", "PLX SUBSCRIBER APPLICATION FORM", & PLX INFORMATIONAL BROCHURE.	
	2	"HOUSE RULES" OF THE PATENT & LICENSE EXCHANGE, 8/25/00, 4 pages.	
	3	"AUTOMATIC PATENT VALIDITY INSURANCE", North American Specialty Insurance Co., 1998, 3 pages.	
	4	"Are Patents Next for the Online Auction Block?", The Recorder, 5/20/99, 1 page.	
	5	Company Press Release, pl-x.com, 8/23/99, 2 pages.	
	6	"Creating an efficient technology market", Kossowsky et al., Chemtech, Dec. 1998, 3 pages.	
	7	Press Release of Swiss Re & Ernst & Young, 4/22/99 &	
	8	"An Internet 'Exchange' Aims to", 8/12/99, 4 pages.	
		Electronic Journal in Intellectual Property, Summer Media, 8/22/99, 2 pages.	

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Substitute for form 1449B/PTO				Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	09/724,744
				Filing Date	11/28/00
				First Named Inventor	JOHN T. PIENKOS
				Art Unit	3825
				Examiner Name	TAMPS ZURITA
				Attorney Docket Number	—
Sheet		of			

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(2) Use for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete If Known Application Number <u>07/724,744</u> Filing Date <u>11/28/06</u> First Named Inventor <u>JOHN T. PIENKOS</u> Art Unit <u>3625</u> Examiner Name <u>JAMES ZURITA</u> Attorney Docket Number <u> </u>	
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[illegible]

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1. Applicant's unique citation designation number (optional). 2. Applicant is to place a check mark here if English language translation is attached.

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